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1	Running head: PURSUIT ENHANCES MOTION PREDICTION
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10	Keep your eyes on the ball:
11	Smooth pursuit eye movements enhance prediction of visual motion
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Abstract

24 Success of motor behavior often depends on the ability to predict the path of moving objects. Here we 25 asked whether tracking a visual object with smooth pursuit eye movements helps to predict its motion 26 direction. We developed a paradigm, "eye soccer", in which observers had to either track or fixate a 27 visual target (ball) and judge whether it would have hit or missed a stationary vertical line segment 28 (goal). Ball and goal were presented briefly for 100-500 milliseconds, and disappeared from the screen 29 together before the perceptual judgment was prompted. In pursuit conditions, the ball moved towards 30 the goal; in fixation conditions, the goal moved towards the stationary ball, resulting in similar retinal 31 stimulation during pursuit and fixation. We also tested the condition in which the goal was fixated and 32 the ball moved. Motion direction prediction was significantly better in pursuit than in fixation trials, 33 regardless of whether ball or goal served as fixation target. In both fixation and pursuit trials, prediction 34 performance was better when eye movements were accurate and improved with shorter ball-goal 35 distance and longer presentation duration. A longer trajectory did not affect performance. During 36 pursuit, an efference copy signal might provide additional motion information, leading to the advantage 37 in motion prediction.

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39 Keywords: motion perception, direction prediction, smooth pursuit, fixation, efference copy

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Introduction

In ball sports, athletes are advised to keep their eyes on the ball to hit or catch it reliably. Professional 43 44 athletes are often claimed to have better (i.e., faster, more precise) eye movements, and many studies 45 have shown that humans track moving objects naturally in the context of performing a motor task such 46 as playing baseball or driving a car (for an overview, see Land and Tatler 2009). On the other hand, it 47 has been shown in the laboratory that the execution of pursuit eye movements can cause 48 misperceptions of stationary and moving objects, an early observation that dates back to the 19th 49 century (Aubert 1887; von Fleischl 1882). Previous studies using real-world tasks described eye 50 movement behavior associated with everyday motor activities, but did not test whether eye movements 51 improve perceptual performance, although this is frequently implied (e.g., Land, 2006). Here we 52 experimentally addressed the question whether tracking a visual object with smooth pursuit eye 53 movements improves the perception of its motion direction. Specifically, we designed a task, "eve 54 soccer", that required observers to extrapolate the direction of a linearly moving object and to predict 55 whether this object (ball) would hit or miss a line segment (goal). We define motion prediction as the 56 ability to anticipate a future event related to the moving object.

57 Effects of eye movements on motion perception

58 Many findings imply that eye movements are beneficial for tasks involving motion 59 extrapolation. In ball sports, athletes use a combination of saccadic and smooth pursuit eye movements 60 to track a moving ball, for instance in baseball (Bahill and LaRitz 1984), basketball (Ripoll et al. 1986), 61 cricket (Land and McLeod 2000), squash (McKinney et al. 2008), volleyball (Lee 2010) and even in 62 table tennis (Land and Furneaux 1997), where ball movement time is very short. Pursuing the ball 63 increases an observer's dynamic visual acuity and therefore enables the use of cues, such as the ball's 64 spin, as a source of information on the ball's movement trajectory (Bahill et al. 2006). Similarly, when 65 observers in the laboratory were asked to intercept the trajectory of a moving object with their hand or 66 finger or to hit a moving object, they smoothly tracked the object until the moment of interception

without instruction to do so (Brenner and Smeets 2007, 2009; Mrotek and Soechting 2007a; Soechting
et al. 2009).

69 Whereas these studies suggest that smooth pursuit eye movements might improve motion 70 prediction, pursuit also comes at a cost for motion perception in general. Pursuit can lead to 71 misperceptions of the direction and speed of moving objects (Aubert 1887; Festinger et al. 1976; 72 Filehne 1922; Freeman and Banks 1998; Haarmeier and Thier 1998; Morvan and Wexler 2009; 73 Souman et al. 2005; von Fleischl 1882; Wertheim and Van Gelder 1990). Tracking a moving object 74 with smooth pursuit eve movements produces a motion signal on the retina in the opposite direction to 75 the pursuit object, induced by the relative motion of untracked objects in the background. Since we 76 generally perceive stationary objects as stationary and moving objects as moving, even during pursuit, 77 this eye movement-induced retinal motion signal must be cancelled somewhere in the visuo-motor 78 processing stream to maintain perceptual stability. The cancellation process has to take into account 79 that normal pursuit usually has a velocity gain of less than 1 (i.e., the eye lags behind the target). This 80 difference between eye and target velocity (or internal and external signal) is referred to as retinal slip. 81 Von Helmholtz (1910/1062) and von Holst and Mittelstaedt (1950) proposed that a compensation of 82 eye-movement induced motion signals might be achieved through a comparison of an external (retinal) 83 motion signal with an internal (extraretinal) reference signal, informing the visual system about pursuit 84 eve velocity so that retinal image motion can be interpreted and estimated.

Two key areas for visual motion processing in the primate cortex, the middle temporal area MT and the middle superior temporal area MST, might contribute to the interpretation of retinal image motion during pursuit in different ways. Recent neurophysiological studies in monkeys showed that MT activity was mostly correlated with target motion on the retina, whereas responses of some MST neurons were correlated with target motion on the screen, i.e., relative to the head and independent of the pursuit response (Chukoskie and Movshon 2009; Inaba, Shinomoto, Yamane, Takemura and Kawano 2007). The finding that some MST neurons veridically encode retinal image motion during

pursuit indicates that neurons in higher cortical visual areas compensate for eye-movement induced
motion signals during pursuit (see also Bradley, Maxwell, Andersen, Banks and Shenoy 1996; Dicke et
al. 2008; Shenoy, Bradley and Andersen 1999, Thier et al. 2001).

95 Even though the notion of a system that compensates for movement-induced motion signals is 96 well established and its neural source identified, compensation during pursuit eve movements is usually 97 imperfect. Perceptual discrepancies between fixation and pursuit arise for (a) stationary objects, (b) 98 objects moving along with the pursuit target, and (c) objects moving perpendicular to the pursuit target. 99 (a) In the Filehne illusion, a briefly presented stationary object appears to move in the direction 100 opposite to the pursuit eye movement (Filehne 1922; Freeman and Banks 1998; Haarmeier and Thier 101 1998). (b) In the Aubert-Fleischl phenomenon, a visual object appears to move slower when it is 102 smoothly tracked than when the observer views it during fixation (Aubert 1887; Turano and 103 Heidenreich 1999; von Fleischl 1882; Wertheim and Van Gelder 1990). It has been reported that this 104 difference in perceived speed does not affect discrimination accuracy in a velocity-matching task 105 (Gibson et al. 1957). (c) Objects that move perpendicularly (Souman et al. 2005) or diagonally 106 (Festinger et al. 1976; Morvan and Wexler 2009) relative to the pursuit trajectory are perceived to 107 move at an angle rotated further away from the pursuit target.

To summarize, on the one hand, humans seem to track moving objects naturally when performing a motor task, presumably to enable better motion prediction and to thereby aid motor planning. On the other hand, the execution of smooth pursuit can alter the perception of stationary and moving objects in laboratory tasks. Are smooth pursuit eye movements beneficial or detrimental for object motion prediction when onset, angle and duration of the object motion are uncertain?

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Methods

We compared the ability to predict a moving object's motion direction by extrapolating its trajectory during pursuit and fixation in three experiments (Methods for Exp. 1 described here, for Expts. 2 and 3 see Results). We introduce a novel paradigm, "eye soccer", in which observers had to

judge whether a briefly presented object (the "ball") would hit or miss a line segment (the "goal"),

118 while fixating or smoothly pursuing the ball. This task requires the ability to predict a visual motion

119 trajectory, because both ball and goal were blanked before the hit or miss event.

120 <u>Observers</u>

121 Observers (mean age 24.2±1.8 yrs) were undergraduate students from Giessen University, 122 Germany, and participated with informed consent. All observers were unaware of the purpose of the 123 experiment and had normal or corrected-to-normal visual acuity. Experiments were in accordance with 124 the principles of the Declaration of Helsinki and approved by the local ethics committee.

125 <u>Visual stimuli and apparatus</u>

Visual stimuli were presented on a 21" CRT monitor at a refresh rate of 100 Hz, set to a spatial 126 127 resolution of 1280 (H) x 1024 (V) pixels. Observers viewed stimuli binocularly from a distance of 47 128 cm with their head stabilized by a chinrest. The ball (white or red Gaussian dot, $SD = 0.15^{\circ}$) and goal 129 (vertical white line segment, 3° long, 0.15° wide) were presented on a uniform black background. To 130 prohibit the use of external reference frames as indicators of target position, experiments took place in 131 a dark, windowless and completely light-shielded room that had black walls and a black curtain at the bottom edge of the door. All light sources (e.g., from computer mouse or power outlet switches) were 132 133 covered with black tape. The monitor frame was covered with non-reflecting black cardboard and 134 fabric. To block residual light from the monitor itself two neutral-density filters (LEE Filters, Burbank, 135 CA) were mounted in front of the display. Through the filters, the black background had a luminance below 0.001 cd/m^2 ; white and red pixels had a luminance of 1 and 0.11 cd/m^2 , respectively. As a result 136 137 of these measures, observers could not see any visual references such as the monitor frame.

138 Experimental procedure and design

Figure 1 shows the sequence of events in individual trials. We compared direction prediction performance during fixation and pursuit. A given trial could either be a fixation or a pursuit trial, randomly interleaved in a block of trials. A white fixation spot shown at the beginning of the trial (**Fig.**

142 1, 1a) indicated pursuit, a red fixation spot (Fig. 1, 1b) indicated fixation. In both types of trials, the 143 ball served as the eye movement target. In fixation trials, the ball remained stationary and had to be 144 fixated while the goal moved towards the fixation position (instruction to fixate the ball). In pursuit 145 trials, the ball moved towards the stationary goal and had to be pursued (instruction to pursue the ball). 146 The initial horizontal and vertical position of the fixation spot was varied from trial to trial within a range of 3.5° around the center of the monitor. The onset of stimulus motion-either of the goal in 147 148 fixation trials or of the ball in pursuit trials-was initiated with a button press by the observer. In the 149 fixation condition, observers were instructed to maintain fixation on the initial fixation spot. In the 150 pursuit condition, the initial fixation spot became the pursuit target when it started to move. To keep 151 retinal stimulation as similar as possible in both conditions, the ball turned white in the fixation 152 condition (Fig. 1, 2b) once the goal started to move. Importantly, the ball and goal disappeared 153 simultaneously before a judgment was made. At the end of each trial, observers were asked to press an 154 assigned button to indicate whether the target would have hit or missed the goal, if motion had 155 continued. No performance feedback was given.

156

- Figure 1 here -

Ball or goal speed was constant at 10°/s. Hit positions were on the goal, at 0.25° from the goal 157 158 endpoints towards the goal center, and miss positions were outside the goal, at 0.25° from the goal 159 endpoints (see Fig. 2 for an example). Task difficulty was manipulated through variation of 160 presentation duration (100, 300, 500 ms) and ball-goal distance upon disappearance (3 and 6°). To 161 make ball or goal motion less predictable, trajectory direction and angles were varied. The target (ball 162 or goal) moved either to the left or right and either along the horizontal meridian (0° angle) or 163 diagonally up (+15° from horizontal) or down (-15°; see Fig. 1, bottom left corner). Conditions and 164 movement direction and angles were randomly interleaved in each block of trials.

165 Eye movement recordings and analysis

166 Eve position was monitored with a head-mounted, video-based eve tracker (EveLink II, SR 167 Research Ltd., Osgoode, Ontario, Canada) and sampled at 250 Hz. Eve velocity was obtained by digital 168 differentiation of eye position signals over time, and filtered using a low-pass, second-order 169 Butterworth filter with a cutoff at 40 Hz. Horizontal and vertical saccades were removed from the 170 unfiltered traces and replaced by linear interpolation between saccade onset and offset. Saccade onset 171 and offset detection was based on the third derivative of eye position over time (jerk), obtained by 172 differentiating unfiltered eye acceleration. Four consecutive samples had to exceed a fixed criterion of 95.000°/s³ to be counted as saccade samples. Pursuit onset was detected using a piecewise linear fit to 173 174 the filtered velocity trace. All traces were visually inspected, and traces with eye blinks or undetected saccades or pursuit onsets were excluded from analysis (~0.2% of all trials in any experiment). 175

To make sure that pursuit was elicited in pursuit trials and that fixation was maintained in fixation trials, we included pursuit trials in further analyses only if (a) a pursuit onset was found and (b) the mean 2D position error in the interval between eye movement onset and 300 ms after onset was less than 2°. Similarly, fixation trials were only included if (a) no pursuit onset was found, and (b) the eye remained within a 2° circle of initial fixation position. Based on these criteria, 581 (10.1%) out of a total of 5760 trials were excluded in Exp. 1, resulting in 5179 remaining trials for analysis.

We calculated retinal slip in pursuit and fixation trials. For pursuit, retinal slip was defined as the difference in velocity between ball (10°/s) and eye from ball motion onset to offset. For fixation, retinal slip was the velocity difference between the ball (0°/s) and the eye from goal motion onset to offset, or simply the mean eye velocity during this time interval. We also calculated pursuit steady-state gain during the closed-loop phase, 200-400 ms after pursuit onset, when the eye velocity can be expected to match the target velocity optimally.

188 Analysis of perceptual and pursuit judgments

Observers' perceptual performance was quantified using the sensitivity measure d prime (d').
Generally, perceptual responses can be classified into "hits" (in eye soccer: judgment "goal", target

191 goal), "misses" (judgment "miss", target goal), "correct rejections" (judgment "miss", target missed) 192 and "false alarms" (judgment "goal", target missed). The value of d' is an index of how well an event 193 (a goal or a miss) can be detected. It is generally believed to be uncontaminated by response bias (such 194 as responding "goal" more often as the number of goal trials increases). It is defined as

195
$$d' = z(H) - z(F)$$
 (1)

196

197 where z(H) and z(F) are experimentally determined z-transformed hit and false alarm rates, 198 respectively. We also report the proportion of correct trials as

199
$$PC = (n_{Hits} + n_{CR}) / n_{Total}$$
(2)

where n_{Hits} and n_{CR} refer to numbers of "hits" and "correct rejections", respectively. The PC is informative with regard to the source of potential differences between d' in different conditions: it can reveal whether these are due to differences in hit rates (PC should parallel differences in d') or false alarm rates (PC should not reflect differences in d').

We further analyzed d' for pursuit responses: Linear regression lines of 200 ms length were fitted to the 2D eye position traces in the time interval 100-450 ms after pursuit onset (**Fig. 2**). The regression windows were moved in 50 ms steps along the eye position trace and linearly extrapolated to obtain the intersection with the goal line segment, yielding four analysis intervals starting at 100, 150, 200, and 250 ms after pursuit onset. Based on the intersection point, the pursuit response was classified as hit, miss, correct rejection or false alarm, and d' was calculated. For each analysis interval, we calculated the fraction of variance that was unexplained by the regression, defined as

211
$$FVU = \frac{\sum_{i=1}^{N} (y_i - \hat{y}_i)^2}{\sum_{i=1}^{N} (y_i - \overline{y}_i)^2}$$
(3)

where *i* is a counting variable from 1 to the number of all regression points, *y* are observed values, \hat{y} are values predicted by the regression, and \overline{y} is the mean of observed values. The numerator indicates the sum of squared deviations of the regression from observed values; the denominator indicates the sum of squared deviations of the regression from the mean of observed values. Note that FVU corresponds to $1-R^2$ and ranges from 0 (perfect fit) to 1 (fit only explains mean across all time intervals).

To analyze the agreement between perceptual judgments and pursuit responses, we calculated the proportion of trials with same judgments in perception and pursuit (P_{Same}) and compared this to the proportion of same judgments that is to be expected if responses are random (see also Gegenfurtner and Franz 2007; Stone and Krauzlis 2003), defined as

221
$$P_{Chance} = P_{Perc} * P_{Purs} + [1 - P_{Perc}) * (1 - P_{Purs})]$$
222
$$- Figure 2 here -$$
(4)

223

Results

224 Experiment 1

225 <u>1. Perceptual motion prediction is better during pursuit than during fixation</u>

In Exp. 1, we compared motion prediction during pursuit and fixation and varied presentation duration and ball-goal distance in five observers. Pursuit improved motion prediction: Observers were better in predicting the direction of a moving ball when they tracked it with their eyes (i.e., higher d' values in **Fig. 3a** and higher proportion correct in **Fig. 3c**) than when they fixated the ball while the goal was approaching it.

231

- Figure 3 here -

232 We compared perceptual performance (d') during pursuit and fixation using three-way 233 repeated-measures ANOVA with factors eye condition, duration and distance. Three main effects were 234 obtained. Perceptual performance was better during pursuit than during fixation under all experimental conditions. F(1,4) = 8.0, p = .048 (compare black symbols for pursuit with red symbols for fixation in 235 236 Fig. 3a and 3c). As expected, performance increased with presentation duration, F(2,8) = 9.4, p = .01. Performance was also higher when the distance between ball and goal was smaller, F(1,4) = 24.5, p = 237 238 .01 (compare circles for small distance to squares for large distance in Fig. 3a). There were no 239 significant interactions. The main effects of eye condition and duration were reflected in the percentage of correct responses (Fig. 3c); the effect of distance was not. This finding indicates that the overall difference in d' between fixation and pursuit and the effect of presentation duration were mostly due to the proportion of correct trials, whereas the effect of distance was due to the false-alarm rate (higher in trials with larger distance).

244 <u>2. Effect of motion angle</u>

245 The results presented so far were averaged across motion directions (left, right) and angles (0°, 246 $\pm 15^{\circ}$). Overall perceptual performance (d') was not significantly affected by motion direction, F(1,4) = 0.25, p = 0.64, but depended significantly on motion angle, F(2,8) = 7.49, p = 0.01, with better overall 247 248 performance in conditions with horizontal (0°; right: $M = 1.79\pm0.63$, left: 2.18±0.81) than with non-249 horizontal, diagonal motion angle ($\pm 15^{\circ}$; right up: M = 1.21 ± 0.24 , right down: 1.16 ± 0.26 , left up: 250 1.17±0.17, left down: 1.19±0.31). Differences between the two non-horizontal motion angles were not 251 significant, as indicated by Bonferroni-corrected posthoc t-tests (right up vs. right down: t(8) = 0.26, p = 87; left up vs. left down: t(8) = -0.09, p = 0.95). The finding that overall performance was better 252 253 along the horizontal axis than along non-horizontal, diagonal axes reflects the well-known oblique 254 effect (Ball & Sekuler, 1982; Furmanski & Engel, 2000). However, regardless of motion angle, we 255 found the same three main effects as reported above: perceptual motion prediction was better in pursuit than in fixation trials (horizontal: $\underline{F}(1,4) = 14.9$, $\underline{p} = .02$; non-horizontal: $\underline{F}(1,4) = 8.4$, $\underline{p} = .03$), better 256 for short than for long distances (horizontal: $\underline{F}(1,4) = 49.2$, $\underline{p} = .002$; non-horizontal: $\underline{F}(1,4) = 13.3$, $\underline{p} =$ 257 .02), and better for longer than for shorter presentation durations (horizontal: F(2,8) = 9.6, p = .008; 258 259 non-horizontal: F(2,8) = 7.3, p = .02). For the following analyses, we averaged across motion directions 260 and angles.

261

3. Can we assume similarity of retinal motion signals during pursuit and fixation?

The rationale for a fixation condition in which the ball was stationary and the goal moved towards the ball was to ensure similarity between pursuit and fixation conditions in terms of retinal image motion with respect to both ball and goal. However, similarity can only be assumed under ideal

circumstances, in which eye velocity gain during pursuit is 1 and eye velocity during fixation is 0.
Usually, pursuit gain is smaller than 1 and fixation is not stable due to small eye movements such as
microsaccades and drift. Figure 4 shows mean eye position and velocity traces relative to pursuit onset.
Velocity gain was smaller than 1 and strongly depended on presentation duration (see also Table 1).
Imprecise pursuit and fixation are only a concern here if they affect perceptual performance to an
extent that they explain the performance difference between pursuit and fixation trials.

271

- Figure 4 here -

272 First, to assess the precision of pursuit and fixation, we analyzed retinal slip in pursuit and 273 fixation trials (see Methods), reported in Table 1. A lower retinal slip implies higher precision in 274 pursuit and fixation, and presumably also better motion perception. Retinal slip was lower during 275 fixation than during pursuit (F(1,4) = 623.7, p < 0.0001), indicating that our main result–better 276 perceptual performance during pursuit than during fixation-is not due to inaccurate eye movements 277 during fixation. In both pursuit and fixation trials, retinal slip was significantly affected by presentation 278 duration and decreased with increasing duration (fixation: F(2,8) = 23.3, p < .0001; pursuit: F(2,8) =279 117.9, p < .0001). In fixation trials, retinal slip did not vary significantly with distance (F(1,4) = 1.01, p) 280 = .373) whereas pursuit quality improved with longer distance (F(1,4) = 36.3, p = .004). It is known 281 that stationary backgrounds reduce initial pursuit acceleration (Keller & Khan, 1986) and steady-state 282 gain (Collewijn & Tamminga, 1984). The stationary goal line segment in "eye soccer" might have had 283 a similar effect, particularly in the short-distance conditions.

284

- Table 1 here -

We next asked whether eye movement precision could explain the observed performance difference in motion direction prediction between pursuit and fixation trials. In **Figure 5**, we show a comparison between perceptual performance in trials with best (top 25%) and worst (bottom 25%) eye movement precision for fixation (**Fig. 5a**) and pursuit (**Fig. 5b**), respectively. Retinal slip in fixation trials was $M = 1.6 \pm 0.25^{\circ}$ /s in trials with good fixation (**Fig. 5a**, solid red symbols) and $M = 2.5 \pm 0.17^{\circ}$ /s 290 (Fig. 5a, open red symbols) in trials with bad fixation; retinal slip in pursuit trials was $M = 5.0 \pm 0.23^{\circ}/s$ 291 in trials with good pursuit (Fig. 5b, solid black symbols) and $M = 7.1 \pm 0.34^{\circ}/s$ (Fig. 5b, open black 292 symbols) in trials with bad pursuit. Note that retinal slip in pursuit trials was calculated from stimulus 293 onset to stimulus offset for comparison with fixation trials, and therefore included the latency and 294 open-loop phases of pursuit during which eve-target velocity matching is usually zero or low, 295 respectively. For comparison, we also report pursuit steady-state gain calculated during the time 296 interval 200-400 ms after pursuit onset (**Table 1**). Although steady-state gain was low (< 0.5) for the 297 short presentation duration, it increased with presentation duration to > 0.9 for the 500-ms presentation 298 duration (see also Fig. 4). The high retinal slip for pursuit was therefore likely due to the long analysis 299 interval as well as to the short presentation duration.

For both fixation and pursuit, perceptual performance increased with increasing eye movement 300 301 precision. However, even with as close to optimal fixation as achieved by our observers, perceptual 302 performance in those trials was generally worse than performance in trials with good pursuit (compare 303 solid red symbols in **Fig. 5a** and solid black symbols in **Fig. 5b**). Moreover, performance in trials with 304 good fixation was only as good as performance in trials with bad pursuit (compare solid red symbols in 305 Fig. 5a to and open black symbols in Fig. 5b). It follows that imprecise fixation and pursuit 306 compromised perceptual performance but could not explain the perceptual performance difference 307 between pursuit and fixation trials. Moreover, the analysis of retinal slip also demonstrated that the 308 retinal image was not the same in fixation and pursuit trials.

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- Figure 5 here -

310 <u>4. Does better pursuit lead to better perceptual performance?</u>

We next asked whether the perceptual performance difference between pursuit and fixation was reflected in the pursuit detection performance (pursuit d'). Does better pursuit lead to better perceptual performance? The analysis in Figure 5 showed that perceptual performance was on average better in trials with high pursuit precision. To compare perceptual and pursuit performance more closely, we

315 calculated d' for pursuit responses and analyzed the temporal development of pursuit d' (Methods, Fig. 316 2). Pursuit performance was generally higher for longer presentation durations (300 and 500 ms) than 317 for the short one and increased over time (Fig. 6a, b). When the stimuli were presented for only 100 318 ms, pursuit performance decreased to chance level for the fourth fitting interval. This finding is not 319 unexpected. In line with Barnes and Collins (2008) we found that a sampling period of 100 ms was 320 sufficient to produce a reliable smooth pursuit response in a substantial number of all trials with pursuit 321 instruction (73%), but still in fewer trials than for longer presentation durations (300 ms: 91%, 500 ms: 322 93%). The decrease in pursuit performance at the shortest presentation duration during the last analysis 323 interval might also reflect the small amount of pursuit executed when targets disappeared before the 324 onset of pursuit (pursuit latency: M = 150.8, SD = 2.2; interestingly, latency was similar for longer 325 presentation durations, 300 ms: $M = 150.2 \pm 4.1$, 500 ms: $M = 149.9 \pm 4.2$), and when the analysis 326 interval started at \sim 350 ms (\sim 150 ms latency + 200 ms until start of analysis interval) after the targets 327 disappeared. Moreover, in the 100-ms condition, pursuit gain started to decrease rapidly at \sim 180 ms 328 after pursuit onset (see Fig. 4), affecting the third (200-400 ms) and fourth (250-450 ms) fitting 329 interval. The fraction of variance that is unexplained (FVU) by the individual regressions was below 330 0.43 for all conditions and analysis intervals, indicating good fits. In correspondence with data in 331 Figure 6, the FVU was higher for the shortest presentation duration ($M = 0.39 \pm 0.11$ and 0.34 ± 0.08 for 332 short and long distance, respectively) than for the 300-ms ($M = 0.29 \pm 0.06$ and 0.25 ± 0.06) or the 500-333 ms conditions (M = 0.26 ± 0.06 and 0.24 ± 0.05).

334

- Figure 6 here -

Pursuit d' in **Figures 6a** and **6b** represents the motion information that is available to the perceptual system for direction prediction from the pursuit eye movements alone. Is this information, when combined with the pure perceptual information obtained in fixation trials (open symbols in **Fig. 3a**) sufficient to account for the perceptual performance benefit in pursuit trials (compare open with filled symbols in **Fig. 3a**)? When averaged across time, pursuit d' is approximately 0.6 for the two 340 longer presentation durations (see Fig. 6). We assume that pure perceptual (fixation trials) and pursuit 341 judgments (pursuit trials) are largely independent. When pursuit d' is added to pure perceptual d' the 342 perceptual performance difference between pursuit and fixation can be fully accounted for, as this 343 difference is always smaller than 0.6 (see Fig. 3a, data points for 300 and 500 ms duration).

344 Figure 7 shows results of a direct comparison between perceptual and pursuit performance for 345 different time intervals after pursuit onset. We compared the probability that both judgments were the 346 same (P_{Same}) with the probability that both judgments followed a random response pattern (P_{Chance} as 347 defined in Equation 4). This analysis was done across observers (n = 5) and conditions for pursuit trials 348 only. Conditions include three presentation durations and two distances, as well as four hit-/miss 349 positions, resulting in 24 conditions. We had to separate the hit-/miss positions because hits and misses 350 had the same probability and a combination of them would bias P_{Chance} values to 50%. Data points that 351 fall above the diagonal line represent agreement between perception and pursuit; points that fall on the 352 line follow a random response pattern. Agreement between perception and pursuit increased over time 353 from no agreement in the early interval (100-300 ms, Fig. 7a) to good agreement in the latest interval 354 (200-400 ms, Fig. 7c). We compared mean P_{Same} and P_{Chance} values per subject for all analysis intervals in a paired-samples t-test and obtained a significant difference for the last interval (Fig. 7c), t(4) = 3.3, 355 356 p = 0.01, but not for the first two intervals (Fig. 7a, b).

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The increase in P_{Same} values over time reflects a well-known property of the pursuit response: eye-target velocity matching is usually not optimal during the pursuit initiation phase which lasts up to ~150 ms after pursuit onset (Lisberger, Morris, & Tychsen, 1987; Osborne, Hohl, Bialek and Lisberger 2007). For a relatively arbitrary early pursuit response with respect to velocity matching, the agreement between perception and pursuit can only be at chance level, but will increase as the fitting interval moves into the pursuit maintenance phase, where velocity matching is often close to perfect.

Generally, the finding that perceptual performance was reflected in the steady-state pursuit response has implications for the mechanism underlying the enhancement of motion prediction through pursuit. The better the pursuit (i.e., the closer its velocity matches target velocity), the more precise an internally generated motion signal (e.g., efference copy) which could potentially be used to improve perception (see Discussion).

369 Experiment 2: Pursuit enhances motion prediction irrespective of retinal image motion

370 In Exp. 1, retinal motion information in pursuit and fixation conditions was similar. Observers 371 were asked to fixate a stationary ball while the goal was moving towards it, and ball and goal were 372 blanked simultaneously. However, in a more realistic situation observers might fixate on the goal, the 373 "center of action", instead. In Exp. 2 we tested whether results depended on fixation location by having 374 four observers to fixate on a fixation spot in the goal center ("fixate the goal") while the ball was 375 moving towards the goal. The pursuit condition was the same as in Exp. 1 ("pursue the ball"). All other 376 conditions were identical to Exp. 1. Based on the criteria for eye movement quality (see Methods), we 377 excluded 166 (5.4%) out of a total of 3072 trials. As in Exp. 1, overall perceptual performance was 378 better for horizontal (M = 2.12 ± 0.92) than for non-horizontal motion angles (M = 1.41 ± 0.24), but not 379 significantly (F(1,3) = 3.7, p = .15). Regardless of motion angle, results (Fig. 3b) show that, in line with 380 our previous findings, perceptual performance (d') was better in pursuit than in fixation trials ($\underline{F}(1,3) =$ 381 17.3, p = .03), better for short than for long distances (F(1,3) = 18.0, p = .02), and better for longer than 382 for shorter presentation durations (F(2,6) = 20.3, p = .002). There were no significant interactions. To 383 test if fixation performance differed in Exp. 1 and Exp. 2 we calculated a three-way repeated-measures 384 ANOVA with within-subject factors duration and distance and experiment as between-subject factor. 385 Performance was better for short distances ($\underline{F}(1,7) = 26.01$, $\underline{p} = .001$) and for long presentation 386 durations ($\underline{F}(2,14) = 6.04$, $\underline{p} = 0.01$). There was no significant difference in performance between Exp. 387 1 and Exp. 2 (F(1,7) = 2.75, p = .14) and no significant interactions.

As in Exp. 1, only the main effects of eye condition and duration were reflected in the proportion of correct responses (**Fig. 3d**). Findings in Exp. 2 indicate that pursuit improves perceptual performance irrespective of the fixation position in the visual field, i.e., the corresponding retinal motion information.

392 Experiment 3: Motion prediction during pursuit and fixation is independent of trajectory length

393 Presentation duration and trajectory length were covaried in Expts. 1 and 2. The relative 394 importance of spatial and temporal information for the present task is therefore unclear. It has been 395 shown previously (Whitaker et al. 2008) that thresholds for discriminating angular deviations in 396 moving objects depend on presentation duration only, and not on path length. This study used a 397 different task – observers had to discriminate direction changes in moving objects – and did not take 398 eye movements into account. In Exp. 3, we manipulated the duration of the motion path by varying 399 stimulus speed, while keeping trajectory length constant. To travel the same distance, a stimulus with 400 short presentation duration moved faster, and a stimulus with long presentation duration moved more 401 slowly. As in Expts. 1 and 2, presentation durations were 100, 300 and 500 ms. The distance between ball and goal was constant at 6°, and trajectory length was 1, 3, or 5°. Accordingly, stimulus speeds 402 403 varied between 2-30°/s, depending on presentation duration and trajectory length. Seven observers 404 participated. Results are based on a total of 10945 trials (1367 or 11.1% out of 12312 trials were 405 excluded).

As in Exp. 1, overall perceptual performance was significantly better for horizontal ($\underline{M} = 1.34\pm0.51$) than for non-horizontal motion angles ($\underline{M} = 0.65\pm0.23$); $\underline{F}(1,3) = 16.7$, $\underline{p} = .007$). However, main effects did not depend on motion angles: We replicated the performance benefit for pursuit regardless of motion angle, and showed a significant difference in motion prediction performance (d') between pursuit and fixation trials (F(1,6) = 7.9, p = 0.03). As in Expts. 1 and 2, regardless of motion angle, perceptual performance, reflected both in d' (**Fig. 8a-c**) and proportion correct (**Fig. 8d-f**), increased with increasing presentation duration (F(2,12) = 17.7, p = 0.0003). However, motion

413	prediction did not depend on trajectory length ($\underline{F}(2,12) = 3.2$, p = 0.08), although Figure 8 indicates a
414	trend for performance in pursuit trials with longer presentation duration to increase with increasing
415	trajectory length. None of the tested interactions was significant. These results imply that the ability to
416	predict where a moving object is going depends more on temporal (the time to reach a decision) than
417	spatial parameters (the distance traveled), at least for the spatio-temporal conditions tested here.
418	- Figure 8 here -
419	Discussion
420	The present study provides direct evidence for a beneficial effect of smooth pursuit eye
421	movements on the perception of motion direction. In three experiments, we showed that the prediction
422	of a moving target's trajectory was better during pursuit than during fixation. This effect was
423	independent of the retinal motion signal: pursuit produced better perceptual performance than fixation
424	when retinal stimulation was similar (Exp. 1) and when it was different (Exp. 2). Further, the effect
425	scaled with presentation duration and distance between ball and goal, but not with trajectory length
426	(Exp. 3), indicating the importance of temporal rather than spatial aspects of target motion.
427	Contribution of internal and external motion signals to the performance benefit during pursuit
428	What is the reason for the performance benefit during pursuit? In "eye soccer", the observers'
429	task was to estimate the physical motion direction of the ball and the goal in two different situations,
430	during fixation and during pursuit. In both cases, the physical motion of the targets at any instant of
431	time, relative to the head/body (e.g., quantified in degrees per second), is simply
432	$M_{\rm B} = R_{\rm B} + E \text{ (for the ball)} $ (5)
433	$M_G = R_G + E \text{ (for the goal)} \tag{6}$
434	where R_B and R_G are the retinal velocities of the ball and goal, with (R_B _hat) and (R_G _hat) being their
435	estimates, respectively. E is the velocity of the eye and E_hat its estimate. Note that estimates are
436	imprecise and include random and non-random errors (i.e., noise and bias, respectively). The motion
437	processing system in the brain has to estimate M _B and M _G from these noisy sources. During fixation,
	18

438 the eves are more or less stationary; during pursuit, both eves smoothly rotate in their orbits. In both 439 cases, the motion estimate is based on a combination of retinal and extraretinal velocity estimates, but 440 the values assigned to these estimates differ (see Table 2). Recall that we tested two different fixation 441 conditions, one in which observers were instructed to fixate on a stationary ball while the goal moved 442 towards the ball (Exp. 1), and another in which observers were instructed to fixate on a stationary goal 443 while the ball moved towards the goal (Exp. 2). In both experiments, we found a performance benefit 444 during pursuit, although retinal velocity estimates of ball and goal were similar during pursuit and fixation in Exp. 1 and different in Exp. 2. During both pursuit and fixation, R_B_hat / R_G_hat has to be 445 446 estimated from the retinal slip. Our data show that performance during pursuit and fixation was better if 447 retinal slip was lower (Fig. 5) and that retinal slip was higher during pursuit than during fixation (Table 448 1). It is therefore unlikely that differences in the estimation of R were responsible for the performance 449 difference between pursuit and fixation.

450

- Table 2 here -

451 How could the estimation of E contribute to the performance benefit in pursuit? E can be 452 estimated from three different signals: (1) a retinal signal from physically stationary objects, (2) an 453 afferent, proprioceptive signal from the eye muscles (Sherrington 1918), and (3) an 'efference copy' or 454 'corollary discharge' of the oculomotor command (von Helmholtz 1910/1962). Afferent and efferent 455 signals are used by the motor system for calibration and online control of eye movements (for a review, 456 see Sommer and Wurtz 2008), and they can also inform perception (e.g., Gauthier et al. 1990; Stark 457 and Bridgeman 1983). We hypothesize that E hat may be computed from different sources during 458 pursuit and fixation and that this difference might account for the performance difference. Whereas 459 pursuit eye velocity may be compensated by extraretinal signals, for instance to reduce perceived 460 motion smear (Tong, Stevenson and Bedell 2008), velocity compensation during fixational eye 461 movements seems to rely on retinal signals alone (Poletti, Listorti and Rucci 2010). Our paradigm 462 contained no visual references aside from the ball and the goal, presumably making a possible retinal-

463 motion based estimation of E during fixation imprecise. In addition, pursuit might recruit more 464 attentional resources than fixation, resulting in better motion perception during pursuit. It is well 465 documented that visual spatial attention is closely linked to the pursuit target (e.g., Lovejoy, Fowler 466 and Krauzlis 2009) but can, at the same time, be flexibly allocated to other locations (Heinen, Zhenlan 467 and Watamaniuk 2011). In "eye soccer", this might result in perceptual performance benefits with 468 regard to both ball and goal location.

469

Neurophysiological basis for motion prediction during pursuit

470 Our data indicate that the perceptual system might use an eye-motion signal, generated 471 internally by the oculomotor system, to derive a better prediction of motion direction during pursuit 472 than during fixation. Where do these signals originate? Generally, internal signals are either used to 473 enable (1) better motor performance, i.e., more accurate eye movements, or, as in our study, (2) better 474 perceptual judgments.

475 (1) Internal motion signals can be used for the execution of pursuit itself. Ongoing pursuit can 476 be reasonably well maintained at a lower gain in the absence of a visual target. This predictive pursuit – 477 predictive maintenance and predictive recovery – has been studied in experimental paradigms in which 478 a moving target was transiently occluded (Becker and Fuchs 1985; Bennett and Barnes 2003-2006; 479 Boman and Hotson 1992; Mrotek and Soechting 2007b; Orban de Xivry et al. 2006). It seems to rely 480 on a combination of reflexive and voluntary control mechanisms. An eye-velocity memory that is 481 continuously updated by efference-copy signals could be responsible for the maintenance of pursuit 482 during an occlusion, while predictive recovery and scaling to the reappearing target's velocity changes 483 have to be under voluntary control through extraretinal signals (Barnes and Asselman 1991; Bennett 484 and Barnes 2004, 2006).

Neurophysiological studies on predictive pursuit show that these responses are mediated by activity in the supplementary eye field (SEF), a region in the dorsomedial frontal cortex (de Hemptinne et al. 2007, 2008; Heinen and Liu 1997; Missal and Heinen 2004). Heinen and colleagues (Kim et al.

488 2005) developed a paradigm to test the temporal dynamics of SEF activity related to cognitive 489 expectations about target motion. In "ocular baseball", monkeys had to make or withhold a pursuit eye 490 movement to a moving target, based on a simple rule. While fixating in the center of a "strike zone", 491 the monkey had to determine whether a target moving towards the strike zone would hit it (strike 492 trials), in which case the target had to be tracked, or miss it (ball trials), in which case fixation had to be 493 maintained. Recordings in the SEF during the task revealed two types of neurons, reflecting target 494 motion prediction and movement execution.

(2) Internal motion signals can also affect perceptual judgments. Studies on predictive pursuit are mostly about prediction of more or less constant stimulus motion across several trials, and the effect of predictive motion signals on pursuit characteristics. In contrast, our study is concerned with the extrapolation of stimulus motion within one trial and the effect of pursuit on perception. A recent study suggests that SEF neurons might not only be involved in target motion prediction for pursuit but also for perception (Shichinohe et al. 2009). This premotor area might therefore be a possible source of the related internal motion signal in the current study.

502 What does perception "know" about pursuit?

503 Some previous studies have demonstrated that concurrent eye or hand tracking can benefit 504 perception, but did not directly compare perception and pursuit. Wexler and Klam (2001) showed that a 505 moving object appears to be positioned further back along its trajectory during pursuit than during 506 fixation. However, if observers were actively moving the target with their hand, engaging in pursuit led 507 to a more veridical position estimate. Unfortunately, the authors measured eye movements in separate, 508 reduced versions of the main experiments, and did not directly compare pursuit and perceptual 509 performance. Following a similar logic but using manual instead of eye tracking, Tanaka et al. (2009) 510 found that target displacement estimation during an occlusion period was more precise during manual 511 tracking than during passive viewing. Eye movements were not measured in this study.

512 With regard to other perceptual tasks, many studies have indicated that the direction or speed of 513 either the pursuit target or a secondary target can be altered by pursuit, relative to the physical motion 514 of the target or to how the target appears to move during fixation. Most of these studies dealt with 515 motion speed (Aubert 1887; Filehne 1922; Freeman and Banks 1998; Haarmeier and Thier 1998; von 516 Fleischl 1882; Wertheim and Van Gelder 1990). The few studies on motion direction found that the 517 direction of a secondary target was misperceived during pursuit, presumably because the eye speed was 518 underestimated (Festinger et al. 1976; Morvan and Wexler 2009; Souman et al. 2005). However, an 519 underestimation of eye speed will not affect the perceived direction of the eye movement target and is 520 therefore unlikely to affect performance in our task. For the perceived direction of the pursuit target, 521 Krukowski et al. (2003) found no difference between pursuit and fixation in a perceptual direction 522 discrimination task. Direction thresholds were similar during fixation and pursuit, and perceptual 523 performance was not related to pursuit gain. These findings are difficult to compare to ours, as these 524 authors used a memory task with two intervals in which a visual motion signal had to be compared to 525 an internal reference. Such a memory task presumably involves more processing stages and may be 526 more difficult than our motion prediction task so that a possible pursuit benefit might have been 527 masked.

528 We finally note that "eye soccer" is a laboratory experiment and not a computer animation of 529 soccer; it does therefore not reflect the complexity of a real-world soccer game. In real-world soccer, 530 more aspects of a ball's trajectory are uncertain than can be controlled in a reduced-cue environment, 531 and players do not see the ball from a bird's eye perspective. Still, our findings might be relevant to 532 situations where a sporting event (like a soccer match) is evaluated off the field (e.g., to analyze 533 individual players' performance or to train soccer referees). While our findings might not have direct 534 implications with regard to motor performance in soccer, they are relevant for the understanding of the 535 effect of pursuit on perceptual performance – a prerequisite for the development of experiments 536 involving more natural stimuli (Rust and Movshon 2005).

537

Conclusion

538 Our results have two main theoretical implications: First, they show that the mere execution of a

539 motor behavior can lead to a more precise estimate of motion direction than if it was based on retinal

540 input alone. Second, it might be one of the main benefits of pursuit eye movements to provide

541 information about a target's motion trajectory. The enhancement of spatial visual acuity is often

542 mentioned as the main purpose of eye movements. Here, we show that in addition to enhancing visual

543 acuity (e.g., Schütz, Braun and Gegenfurtner 2009), pursuit can also enhance motion predictability.

544 Better performance in perceptual tasks should lead to improved motor planning. We speculate that to

- 545 keep the eyes on a visual target, such as the ball in soccer, might therefore be a good strategy to
- 546 improve perceptual as well as motor performance.
- 547

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551

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- 688

689 Figure captions

690

691 <u>Figure 1.</u> Trial sequence in eye soccer. 1. Fixation and eye-tracker drift correction (1a. pursuit trial: ball 692 white; 1b. fixation trial: ball red). 2a. Pursuit: step-ramp motion of ball towards goal (here: "hit" trial); 693 2b. fixation: motion of goal towards stationary ball (here: "miss" trial); both balls white. 3. 694 Disappearance of ball and goal before ball reached goal; perceptual judgment. Possible ball or goal 695 motion angles (0° and $\pm 15^{\circ}$) are illustrated in bottom left corner.

696

697 Figure 2. Representative "miss" trial from one observer in Exp. 1. A: Horizontal (red) and vertical 698 (blue) eve position traces over time relative to stimulus onset. Horizontal and vertical eve position were 699 separately fitted with 200-ms regression lines (black) and linearly extrapolated to intersect with the 700 goal line segment. Horizontal red and blue lines denote actual ball end positions (miss positions), if the 701 ball had continued to move towards the goal. B: 2D eye position (black) and ball position (red) relative 702 to goal position (vertical black line). The solid red line denotes the visible ball trajectory on the screen, 703 the dashed red line denotes the extrapolated ball position between ball offset and ball end position if the 704 ball had hit or missed the goal (miss position denoted by red cross).

705

Figure 3. Comparison of perceptual results (d') between pursuit (black solid symbols and lines) and
fixation (red open symbols and dashed lines) for two ball-goal distances and three presentation
durations. A: Results for Exp. 1; fixation on ball with goal moving in fixation condition (5 observers).
B: Exp. 2; fixation on goal with ball moving in fixation condition (4 observers). Pursuit conditions
were identical in Expts. 1 and 2. Data are means +/- SEM.

711

712Figure 4. Mean eye position (A) and velocity traces (B) relative to pursuit onset in Exp. 1 for $\underline{n} = 5$.713Colors denote presentation durations. Vertical black dashed lines denote beginning and end of fitting714intervals (see Method and Fig. 6).

715

Figure 5. Comparison of perceptual d' between trials with good and bad eye movement precision in
 Exp. 1. A: Fixation trials; results under good fixation denoted by solid symbols and lines, results under
 bad fixation denoted by open symbols and dashed lines. B: Pursuit trials with same format as in A.

719

720 <u>Figure 6</u>. Eye movement performance (oculomotor d') yielded from extrapolated eye movement

- direction in Exp. 1. A: Oculomotor d' for short distance (3°) between ball and goal. Results are plotted
 for four 200-ms fitting intervals over a total time period from 100 to 450 ms after pursuit onset.
 Symbols denote presentation durations. Data are means +/- SEM. B: Oculomotor d' for long distance
- 724 (6°), same format as in A.
- 725

726Figure 7.Agreement between perceptual and pursuit responses across observers and conditions in Exp.7271 plotted for different time intervals after pursuit onset. A: Fitting interval 100-300 ms after pursuit728onset. B: Interval 150-350 ms. C: Interval 200-400 ms. Red cross marks mean values of P_{Same} and729 P_{Chance} across conditions. Diagonal dashed line marks boundary between agreement (points falling730above the line) and chance performance (points falling below the line).

- 731
- 732 <u>Figure 8</u>. Comparison of perceptual performance (d') between pursuit (black) and fixation trials (white) 733 in $\underline{n} = 7$. A: Trajectory length 1°. B: 3°. C: 5°. Data are means +/- SEM.
- 734

736 737 <u>Table 1</u>. Retinal slip in fixation and pursuit trials in deg/sec and pursuit steady-state gain for six conditions (distance, duration) in Exp. 1

	Fixation 1	etinal slip	Pursuit re	tinal slip	Pursu	iit gain
Condition	<u>M</u>	<u>SD</u>	<u>M</u>	<u>SD</u>	M	<u>SD</u>
3°, 100 ms	2.31	0.23	8.35	0.17	0.31	0.05
3°, 300 ms	2.09	0.24	7.03	0.35	0.62	0.11
3°, 500 ms	2.05	0.26	6.03	0.43	0.82	0.12
6°, 100 ms	2.36	0.23	7.99	0.31	0.47	0.11
6°, 300 ms	2.19	0.17	6.56	0.51	0.83	0.06
6°, 500 ms	2.06	0.16	5.59	0.29	0.94	0.04

<u>Table 2</u>. Value assumptions for estimates of retinal ball and goal velocity signals and extraretinal velocity signals during pursuit and fixation in Expts. 1 and 2

		E_hat	R _B _hat	R _G _hat
	Pursuit	Non-zero value	Approx. 0	Non-zero value
	Fixation (Exp. 1)	Approx. 0	Approx. 0	Non-zero value
	Fixation (Exp. 2)	Approx. 0	Non-zero value	Approx. 0
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